



1746

Attorney's Docket No.: 08935-245001 / M-4962

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James J. Cervera et al.  
Serial No. : 09/902,884  
Filed : July 11, 2001  
Title : BATTERY

Art Unit : 1746  
Examiner : Jonathan Crepeau

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated February 26, 2004, which is not more than three months prior to the filing of this statement.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

\_\_\_\_\_  
Robert C. Nabinger  
Reg. No. 33,431

Date: April 27, 2004

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906  
20848179.doc

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 27, 2004

Date of Deposit

Signature

Sherry L. Hunt

Typed or Printed Name of Person Signing Certificate



Substitute Form PTO-1449 (Machine Readable)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 08935-245001	Application No. 09/902,884
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant James J. Cervera et al.	
		Filing Date July 11, 2001	Group Art Unit 1746

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AJ	WO 01/84652	11/08/01	PCT			
	AK	WO 99/34462	07/08/99	PCT			
	AL	11-149927	02/06/99	Japan			X
	AM	9-7601	10/01/97	Japan			X
	AN	0 675 556 A1	10/04/95	EPO			
	AO	0 646 976 A1	04/05/95	EPO			
	AP	5-290844	05/11/93	EPO			X
	AQ	WO 91/17581	11/14/91	PCT			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AR	Flandrois et al., "Carbon materials for lithium-ion rechargeable batteries", Carbon, Vol. 37, pp. 165-180, 1999
	AS	
	AT	
	AU	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	